

Indicate request. Check all that apply:

Request for reinstatement

Self report of NCAA secondary violation (Level I)



REQUEST FOR REINSTATEMENT AND/OR SELF REPORT OF NCAA SECONDARY VIOLATION (Level I)

For Use by Member Institutions and Conferences Only

This form must be completed and all required documentation must be submitted before the NCAA student-athlete reinstatement staff or secondary enforcement staff may act on the request/report.

Please note that all decisions issued by the student-athlete reinstatement staff may be appealed to the Division-specific Committee on Student-Athlete Reinstatement. In addition, if reinstatement is requested, the reinstatement request will be processed first then forwarded to the secondary enforcement staff.

Please type or print.

1. Applicant institution: The Ohio State University Conference: Big Ten
2. Sport: Football Division (of sport): IA
3. Student-athlete's name: [REDACTED]
4. Student-athlete's SSN: _____
5. Date of Birth: See Attached
6. Date of high school graduation: See Attached
7. Seasons of competition remaining: See Attached
8. Student-athlete's next scheduled contest or date of competition: Sept 3, 2011 (withholding); Sept 10, 2011
9. Student-athlete's status.
Date of initial-collegiate enrollment: See Attached
Date of initial-collegiate enrollment at applicant institution, if different: N/A
10. Was the student-athlete recruited? See Attached
11. Is the student-athlete on athletically related financial aid? See Attached
12. Please list all institutions student-athlete attended:

13. Date violation occurred: Feb 19, 2011 Date violation discovered: Aug 31, 2011
14. Please indicate bylaw(s) involved in violation:
12.1.2.1.4 ? 12.5.1.1(a)

15. List all individuals involved in violation (including student-athletes and prospective student-athletes):
See Attached

16. Describe facts of violation:
See Attached

17. How was violation discovered?
See Attached

18. List reasons violation occurred:
See Attached

19. Has the institution declared the student-athlete ineligible? *Yes*

20. If the institution has declared the student-athlete ineligible and is requesting reinstatement, please list all mitigation present in the violation. Include assessment of the student-athlete's responsibility for the violation:
See Attached

21. List any self-imposed institutional action and/or conference action (including corrective actions, sanctions or conditions imposed on the student-athlete's eligibility):

22. Please list any relevant case precedent:

Please note that regardless if the involved student-athlete(s) signed a Buckley form at the start of the year, the last page (student-athlete's signature and address) of this application must be completed and returned. Please note that many cases include supporting documentation that must be included for the reinstatement case or secondary infraction to be processed. Please include all supporting documentation with this application when submitting the information to the NCAA staff.

- If reinstatement is required, this application along with all supporting documentation should be sent to Jennifer Henderson, director of membership services/student-athlete reinstatement. Fax No.: 317/917-6736.
- If reinstatement is not required, this application along with all supporting documentation should be sent to Chris Strobel, director of enforcement for secondary infractions. Fax No.: 317/917-6059.

Signature:

Signature of individual submitting request/report: D. Archie

Please print name and title of individual submitting request/report:

Doug Archie, Associate AD for Compliance

(The report must be submitted by the institution's chief executive officer, faculty athletics representative, director of athletics, senior woman administrator or the individual designated on campus to handle compliance.)

Contact Information:

Name and title of institutional contact person: Doug Archie, Associate AD for Compliance

(Note: All correspondence regarding this waiver will be directed to the contact person.)

Telephone number: 614/688-8296

Fax number: 614/292-0199

E-mail address: archie, 10@osu.edu



OHIO STATE ATHLETICS

Fawcett Center | 2400 Olentangy River Rd. | Columbus, Ohio 43210

September 1, 2011

TO: Jennifer Henderson, NCAA, Director of Student-Athlete Reinstatement

FROM: Doug Archle, Associate Athletic Director for Compliance

SUBJECT: Violation of NCAA Bylaw 12.1.2.1.6; Preferential Treatment and NCAA Bylaw 12.5.1.1 (a) Institutional Charitable, Education or Nonprofit Promotions

This self-report is on behalf of The Ohio State University (OSU) football program. On [REDACTED], 2011, five current football student-athletes and two former student-athletes attended a charitable event in Cleveland, Ohio. Three of the current student-athletes received impermissible monies at the charitable event--a violation of Bylaw 12.1.2.1.6. Further, a violation of NCAA Bylaw 12.5.1.1(a) occurred since the student-athletes did not receive prior approval for attending this event for this year.

The violation was discovered on August 31, 2011 during a joint investigation with the NCAA Enforcement staff. Specifically, the institution and NCAA Enforcement staff interviewed current football student-athletes [REDACTED] and [REDACTED]. During the interviews, the student-athletes indicated that they attended an event for the charitable organization [REDACTED] on [REDACTED], 2011. In order to monitor promotional activities and comply with NCAA rules, the institution's athletics department requires written approval from the compliance office prior to student-athlete attendance at promotional or charitable events. [During the 2010-11 academic year, the institution processed approximately 220 student-athlete appearance requests.] Football student-athletes are educated about this requirement at check-in and check-out meetings, as well as throughout the academic year by both compliance staff and Football Director of Player Development Stan Jefferson.

All of the current student-athletes incorrectly believed that their participation in the event had been approved by the OSU compliance office. The OSU compliance office did not approve participation in the [REDACTED], 2011 event, but did approve the same event on [REDACTED], 2007 and [REDACTED], 2010. Please note that there was nothing impermissible about the event because it meets the provisions of NCAA Bylaw 12.5.1, but the student-athletes did not obtain prior approval from the institution to attend the event as is required under the NCAA legislation. NCAA Bylaw 12.5.1 also allows student-athletes to receive actual and necessary expenses associated with attendance at an event.

During interviews with the current student-athletes involved, the institution learned that they were invited to participate in the event by former student-athlete [REDACTED]. The student-athletes traveled to Cleveland, Ohio in four vehicles [REDACTED] drove his own vehicle [REDACTED] and [REDACTED] drove in [REDACTED] vehicle, and [REDACTED] rode with former student-athlete [REDACTED] in [REDACTED]'s vehicle. Two of the student-athletes involved ([REDACTED] and [REDACTED]) believed they were being paid to work at the charitable event, while others believed they were attending to help promote the charity. The institution believes that the student-athletes were at the event in order to help promote the fundraising efforts of the



OHIO STATE ATHLETICS

Fawcett Center | 2400 Oientangy River Rd. | Columbus, Ohio 43210

charity because the student-athletes were recognized and formally introduced to attendees of the charitable event, and student-athletes were placed at specific tables by event organizers.

At some point during the evening, [redacted], [redacted] and [redacted] received \$200 each. There are differences among the student-athletes in terms of whom they received the money from as well as their perceptions as to the reasons for which they were paid. [redacted] and [redacted] believed the money was for working at the event, while [redacted] believed he was receiving money from a teammate [redacted] and [redacted] indicated that they received payment from former student-athlete [redacted], while [redacted] indicated that he received payment from [redacted] (who is also a representative of athletics interests [redacted] and [redacted] both indicated that they did not receive payment for participating in the event, but that they did observe [redacted] carrying several envelopes during the event.).

It is unclear whether [redacted] gave monies to [redacted] in his role as a representative of the charitable group or personally, as a representative of the institution's athletics interests. The source of funds in the envelopes distributed by former student-athlete [redacted] is also unclear. Nevertheless, the university is acknowledging, a violation of NCAA Bylaw 12.1.2.1.6 and is continuing to work with the Enforcement Staff on resolving some of the specifics surrounding this event.


In response to the violation, the Institution will declare [redacted], [redacted] and [redacted] ineligible for one game (10% of competition for 2011) and require repayment of \$200 to a charitable organization.

- cc: Dr. John Bruno, Faculty Athletic Representative
- Luke Fickell, Head Football Coach
- Jeff Kaplan, Senior Vice President and Special Assistant to the President
- Chris Rogers, Assistant Athletic Director for Compliance
- Gene Smith, Vice President and Director of Athletics
- Julie Vannatta, Senior Associate General Counsel for Athletics

Statement to be signed by student-athlete and submitted with a request for a waiver.

Buckley Statement. I give my consent to disclose to authorized representatives of this institution, its athletics conference (if any) and the NCAA any documents or information pertaining to my NCAA eligibility. Additionally, I give my consent to the NCAA to disclose my name and personally identifiable information from my education records to a third party (including but not limited to the media) as necessary to explain the NCAA's decision regarding this waiver request without such disclosure constituting a violation of my rights under the Family Educational Rights and Privacy Act.

Signature (student-athlete):  Date: 9/2/11

Student-athlete's address: 

Statement to be signed by student-athlete and submitted with a request for a waiver.

Buckley Statement. I give my consent to disclose to authorized representatives of this institution, its athletics conference (if any) and the NCAA any documents or information pertaining to my NCAA eligibility. Additionally, I give my consent to the NCAA to disclose my name and personally identifiable information from my education records to a third party (including but not limited to the media) as necessary to explain the NCAA's decision regarding this waiver request without such disclosure constituting a violation of my rights under the Family Educational Rights and Privacy Act.

Signature (student-athlete)

Date: 9-2-11

Student-athlete's address

Statement to be signed by student-athlete and submitted with a request for a waiver.

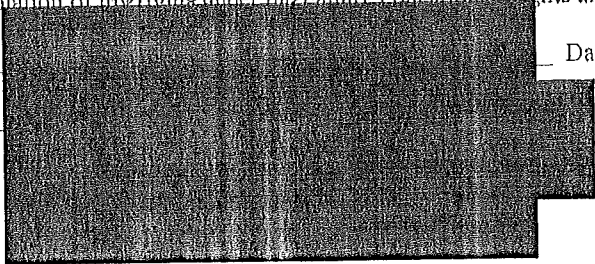
Buckley Statement. I give my consent to disclose to authorized representatives of this institution, its athletics conference (if any) and the NCAA any documents or information pertaining to my NCAA eligibility. Additionally, I give my consent to the NCAA to disclose my name and personally identifiable information from my education records to a third party (including but not limited to the media) as necessary to explain the NCAA's decision regarding this waiver request without such disclosure constituting a violation of my rights under the Family Educational Rights and Privacy Act.

Signature (student-athlete):

Date:

7/2/11

Student-athlete's address:



NCAA SAR Form Questions

NCAA ID #	0708229711	0801330660	0708222779
DOB	6/1/2009	6/2/2009	6/5/2008
Date of HS Grad			
Seasons of Comp Remaining After 2010-11	3	2	2
First FT Enrollment	Fall 2009	Fall 2009	Fall 2008
Was SA Recruited?	Yes	Yes	Yes
Is SA on Aid?	Yes	Yes	Yes
Institutions attended	Ohio State	Ohio State	Ohio State